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|----|--|--|--|--|--|--|
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| 3  | jfoster@princelobel.com<br>Matthew Vella (Cal. Bar No. 314548)   |  |  |  |  |  |
| 4  | mvella@princelobel.com PRINCE LOBEL TYE LLP One International Place, Suite 3700 Boston, MA 02110 Tel: (617) 456-8000 |  |  |  |  |  |
| 5  |  |  |  |  |  |  |
| 6  |  |  |  |  |  |  |
| 7  | Attorneys for Plaintiff  |  |  |  |  |  |
| 8  | LINUTED OF A TEC   | DIGEDICE COLUE                                     |  |  |  |  |
|    | UNITED STATES DISTRICT COURT   |  |  |  |  |  |
| 9  | CENTRAL DISTRICT OF CALIFORNIA   |  |  |  |  |  |
| 10 | UNILOC 2017 LLC  | Civil Action No. 8:19-cv-01149                     |  |  |  |  |
| 11 | Plaintiff,   | COMPLAINT FOR PATENT                               |  |  |  |  |
| 12 | v.   | INFRINGEMENT                                       |  |  |  |  |
| 13 | H&R BLOCK, INC. and<br>HRB DIGITAL LLC,  | JURY TRIAL DEMANDED                                |  |  |  |  |
| 14 | Defendant.   |  |  |  |  |  |
| 15 |  | _  |  |  |  |  |
| 16 | Plaintiff, Uniloc 2017 LLC ("Uniloc"), alleges as follows against defendants, H & R Block                            |  |  |  |  |  |
| 17 | Inc. and HRB Digital LLC (together "HRB"):   |  |  |  |  |  |
| 18 | THE P  | ARTIES   |  |  |  |  |
| 19 | 1. Uniloc is a Delaware limited liability company.   |  |  |  |  |  |
| 20 | 2. H & R Block, Inc. is a Missouri co  | orporation having numerous regular and             |  |  |  |  |
| 21 | established places of business within this judicial district. See www.hrblock.com/tax-offices/.                      |  |  |  |  |  |
| 22 | 3. HRB Digital LLC is a Delaware corporation that distributes tax preparation  |  |  |  |  |  |
| 23 | software to, and for use by, taxpayers within this judicial district.  |  |  |  |  |  |
| 24 | <u>JURISDICTION</u>  |  |  |  |  |  |
| 25 | 4. Uniloc brings this action for paten   | t infringement under the patent laws of the United |  |  |  |  |
| 26 | States, 35 U.S.C. § 271, et seq. This Court has subject matter jurisdiction pursuant to 28 U.S.C. §                  |  |  |  |  |  |
| 27 | 1331 and 1338(a).  |  |  |  |  |  |
| 28 |  |  |  |  |  |  |
|    | Case 4:20-cv-00659-GAF Document  | 1 Filed 06/10/19 Page 1 of 8                       |  |  |  |  |
|    | 3213777.V1   |  |  |  |  |  |

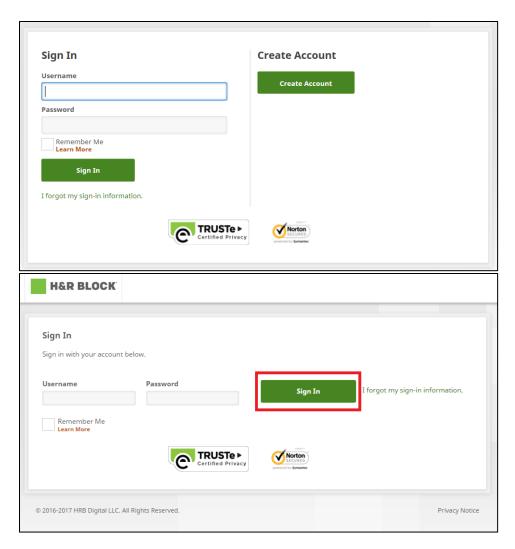
## **COUNT I**

(INFRINGEMENT OF U.S. PATENT NO. 6,324,578)

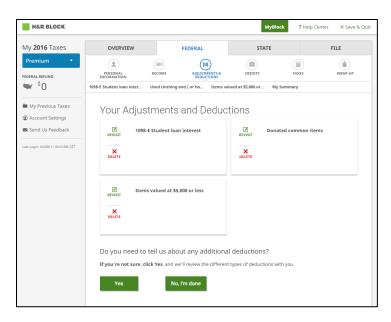
- 5. Uniloc incorporates paragraphs 1-4 above, by reference.
- 6. Uniloc is the owner, by assignment, of U.S. Patent No. 6,324,578 ("the '578 Patent"), entitled METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR MANAGEMENT OF CONFIGURABLE APPLICATION PROGRAMS ON A NETWORK, that issued on November 27, 2001 on an application filed on December 14, 1998. A copy of the '578 Patent is attached as Exhibit A.
- 7. HRB maintains a network of high-security, access-controlled data centers that host a platform through which it offers and provides products and services such as the Free, Deluxe and Premium versions of Online Tax Filing ("HRB platform" or "platform"):



8. A HRB customer launches the Online Tax Filing application downloaded from HRB by creating an account and logging in:



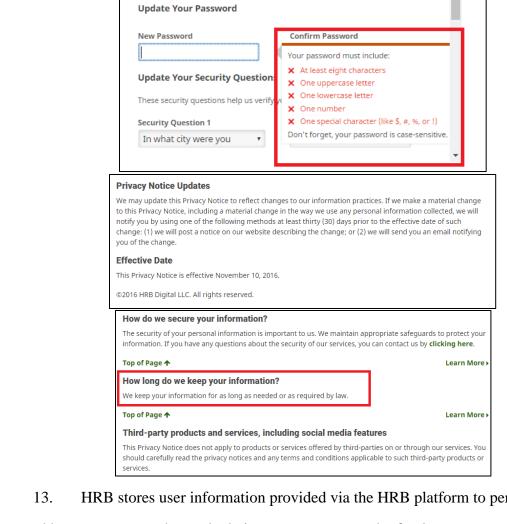
- 9. When an Online Tax Filing account has successfully been opened with HRB, the user is authorized to proceed with the application.
- 10. When a user logs in to HRB via the Sign In launcher, the user interface provides a plurality of options to select from, such as preparing Federal or State returns:



11. Once granted access to the HRB platform, a user is presented with a plurality of user-configurable preferences, such as account settings, update password, and update security questions:



12. The HRB platform provides a plurality of administrator-configurable preferences such as password requirements, privacy provisions, and the length of time data is stored:



In order to update your account settings, first we need to verify your current password.

X Delete This Account Print Your Settings

Account Settings

**Account Settings** 

Current Password(required)

- HRB stores user information provided via the HRB platform to perform operations requested by customers, such as calculating tax payments and refunds.
- 14. A user may request information from HRB, such as prior taxes paid, and HRB will respond to such a request by providing the user's prior tax information.
- 15. HRB infringed at least claim 1 of the '578 Patent by making, using, offering for sale, and/or selling the HRB platform, which software and associated architecture allow for installing an application program having configurable preferences and authorized users on a server coupled to a network, distributing an application launcher program to a client, obtaining a user set

16.

use the platform.

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infringement.

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platform.

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20. Uniloc has been damaged by HRB's infringement of the '578 Patent.

COUNT II

utilizing the same or reasonably similar functionality, including other versions of the HRB

actions actively induced and contributed to infringement of the '578 Patent.

(INFRINGEMENT OF U.S. PATENT NO. 7,069,293)

of the configurable preferences, obtaining an administrator set of configurable preferences, and

of the HRB platform. HRB's customers who used the platform in accordance with HRB's

instructions infringed the '578 Patent, as described above. HRB intentionally instructed its

customers to infringe, with knowledge they were infringing, by providing instructions on how to

and/or otherwise commercially offering use of its platform, which was used to infringe the '578

contained in the accused platform were especially written solely for use to implement what HRB

knew was infringement of the '578 Patent. HRB knew these portions had no use, other than for

complaint upon it on June 8, 2017 in the previous action between Uniloc and H & R Block in the

Eastern District of Texas. HRB knew and intended (since receiving that notice) that its continued

Patent and constituted a material part of the invention. HRB knew portions of the software

responsive to a request from a user as described above.

executing the application program using the user and administrator sets of configurable preferences

HRB also infringed at least claim 1 of the '578 Patent by actively inducing the use

HRB also infringed at least claim 1 of the '578 Patent by offering to sell, selling,

HRB has been on notice of the '578 Patent since, at the latest, the service of the

HRB may have infringed the '578 Patent through other software and architecture

- 21. Uniloc incorporates herein by reference paragraphs 1-20 above.
- 22. Uniloc Luxembourg is the owner, by assignment, of U.S. Patent No. 7,069,293 ("the '293 Patent"), entitled METHODS, SYSTEMS AND COMPUTER PROGRAM
- PRODUCTS FOR DISTRIBUTION OF APPLICATION PROGRAMS TO A TARGET

STATION ON A NETWORK, which issued on June 27, 2006, claiming priority to an application filed on December 14, 1998. A copy of the '293 Patent is attached as Exhibit B.

23. HRB uses Apache on-demand servers in the United States to host its services:

| This site does not support the SSL version 3 protocol.  More information about 95L version 3 and the PODDLE vulnerability.  The site did not offer the Heartbeat TLS extension prior to the Heartbleed disclosure, and so was not exploitable.  This test does not exploit the Heartbleed vulnerability but uses information from convectional HTTPS requests. Nove information about Heartbleed desection.  Assurance  Estended validation  ARR Block Inc  Organisation  HRR Block Inc  Organisation  HRR Block Inc  Missouri  Country  US  Organisational unit  Enterprise Technology  Validity period  From Jul 19 2015 to Jul 19 2017 (24 months)  Matches hostname  Ves  Server  Apache  Public key algorithm  rafinoryption  Protocol version  Tus-12  Public key algorithm  sha256WithSeAfnoryption  Serial number  0x7e095383344adc48645c384cf99c8a6  Cipher  AES256-SHA  Version number  0x02  Perfect Forward Secrecy  Next Protocol Negotiation  Next Protocol Negotiation  Act Present  Issuer outhr  US  Certificate Revocation  Lists  http://xsymcb.com/sr.cri - 100% uptime in the past 24 hours Ima  Certificate Revocation  Lists  http://xsymcb.com/sr.cri - 100% uptime in the past 24 hours Ima  OX98 pervers  http://xsymcb.com/sr.cri - 100% uptime in the past 24 hours Ima  OX98 pervers  http://xsymcb.com/sr.cri - 100% uptime in the past 24 hours Ima  OX98 pervers  http://xsymcb.com/sr.cri - 100% uptime in the past 24 hours Ima  OX98 pervers  http://xsymcb.com/sr.cri - 100% uptime in the past 24 hours Ima  OX98 pervers  http://xsymcb.com/sr.cri - 100% uptime in the past 24 hours Ima  OX98 pervers  http://xsymcb.com/sr.cri - 100% uptime in the past 24 hours Ima  OX98 pervers  http://xsymcb.com/sr.cri - 100% uptime in the past 24 hours Ima  OX98 pervers  http://xsymcb.com/sr.cri - 100% uptime in the past 24 hours Ima  OX98 pervers  OX | SSLv3/POODLE              |   |                          |   |  |  |  |
|--|---------------------------|---|--------------------------|---|--|--|--|
| Heartbleed  The site did not offer the Heartbeat TLS extension prior to the Heartbleed disclosure, and so was not exploitable.  This test does not exploit the Heartbleed vulnerability but uses information from conventional HTTPS requests. Here information about Heartbleed detection.  Assurance Extended validation  Organisation  H8R Block Inc Common name idp.hrtblock.com  State Missouri Country US  Organisational unit Enterprise Technology Subject Alternative Name idp.hrtblock.com  Validity period From Jul 19 2015 to Jul 19 2017 (24 months) Matches hostname Yes  Server Apate  Protocol version TLSV1.2 Public key length 2048  Protocol version TLSV1.2 Public key length 2048  Certificate check ok Signature algorithm sha256WithStaEncryption  Serial number 0x7e00955e3344adx48645c384cf99c8a6 Cipher AS256-SHA Version number 0x02  Next Protocol Negotiation Not Present Supported TLS Extensions  Issuer on Supported TLS Extensions  Issuer country US Issuer Control Not Present  Issuer country US Issuer Trust Network Issuer location Not Present  Issuer country US Issuer State Not Present  Issuer country US Issuer State Not Present  Issuer country US Issuer State Not Present  Issuer Certificate Revocation http://sr.symcb.com/sr.cri - 100% uptime in the past 24 hours Image Certificate Hash Sw/pED2F2CwQDGW1/bTs1.Yh11A  OCSP servers http://sr.symcb.com/sr.cri - 100% uptime in the past 24 hours Image Certificate Hash Sw/pED2F2CwQDGW1/bTs1.Yh11A   | SSLV3/POODLE              | This site does not support the SSL version 3 protocol.  |                          |   |  |  |  |
| The site did not offer the Heartbeat TLS extension prior to the Heartbleed disclosure, and so was not exploitable.  This test does not exploit the Heartbleed vulnerability but uses information from convectional HTTPS requests. Nove information about Heartbleed detection.  Assurance Extended validation  Common name idp.httlock.com  Idp.httlock.com  Idp.httlock.com  Validity period From Jul 19 2015 to Jul 19 2017 (24 months)  Subject Alternative Name Validity period From Jul 19 2015 to Jul 19 2017 (24 months)  Subject Alternative Name Ves  Server Apate  Public key algorithm  Protocol version  TLSV1.2  Public key length  2048  Certificate check ok  Signature algorithm  Sar256-With SAEncryption  Serial number  0x02  Perfect Forward Secrecy  No. Aver Protocol Negotiation  Not Present  Issuer on Amete Corporation  Issuer on Amete Compation  Issuer country  US  Issuer State  Not Present  Issuer country  US  Certificate Revocation  Lists  http://sr.symcb.com/sr.cri - 100% uptime in the past 24 hours Image  OCPS servers  http://sr.symcb.com - 100% uptime in the past 24 hours Image  OCPS servers  http://sr.symcb.com - 100% uptime in the past 24 hours Image  OCPS servers  http://sr.symcd.com - 100% uptime in the past 24 hours Image  OCSP servers  http://sr.symcd.com - 100% uptime in the past 24 hours Image  OCSP servers  http://sr.symcd.com - 100% uptime in the past 24 hours Image  OCSP servers  http://sr.symcd.com - 100% uptime in the past 24 hours Image  OCSP servers  http://sr.symcd.com - 100% uptime in the past 24 hours Image  OCSP servers  http://sr.symcd.com - 100% uptime in the past 24 hours Image  OCSP servers  http://sr.symcd.com - 100% uptime in the past 24 hours Image  OCSP servers  http://sr.symcd.com - 100% uptime in the past 24 hours Image  OCSP servers  No. Amon name International should deptime the past 24 hours Image  OCSP servers  OCSP servers  Description name about should deptime the past 24 hours Image  OCSP servers  O |                           | More information about SSL version 3 and the POODLE vulnerability.  |                          |   |  |  |  |
| Assurance   Extended validation  | Heartbleed                | The site did not offer the Heartbeat TLS extension prior to the Heartbleed disclosure, and so was not exploitable.  |                          |   |  |  |  |
| Organisation         H&R Block Inc         Common name         Idp.htblock.com           State         Missourl         Country         US           Organisational unit         Enterprise Technology         Subject Alternative Name         Mghrhiodc.com           Validity period         From Jul 19 2015 to Jul 19 2017 (24 months)         Matches hostname         Ves           Server         Apache         Public key algorithm         raflocryption           Protocol version         TLS12         Public key length         2048           Certificate check         ok         Signature algorithm         shaz256WithSAEncryption           Serial number         0x02         Perfect Forward Secrecy         No           Version number         0x02         Perfect Forward Secrecy         No           Next Protocol Negotiation         Ace Present         Supported TLS Extensions         RC5746 renegotiation info, RFC4366 server nature in the state of the st  |                           | This test does not exploit the Heartbleed vulnerability but uses information from conventional HTTPS requests. More information about Heartbleed detection. |                          |   |  |  |  |
| State   Missouri   Country   US  | Assurance                 | Extended validation   |                          |   |  |  |  |
| Organisational unit         Enterprise Technology         Subject Alternative Name         Idea. Included. Com           Validity period         From Jul 19 2015 to Jul 19 2017 (24 months)         Match bottsman         Yes           Server         Apale         Public key algorithm         rasEncryption           Protocol version         TLSV1.2         Public key length         2048           Certificate check         ok         Signature algorithm         sha256-With RSAEncryption           Serial number         0x7e0e0953e3344adc48645;384cf99c8a6         Cipher         AE5256-SHA           Version number         0x02         Perfect Forward Secrecy         No           Next Protocol Negotiation         Mort Present         Supported TLE Stetzionis RFC5746 renegotiation info, RFC4366 server national info, RFC4   | Organisation              | H&R Block Inc   | Common name              | idp.hrblock.com                                 |  |  |  |
| Validity period  | State                     | Missouri  | Country                  | US  |  |  |  |
| Server   | Organisational unit       | Enterprise Technology   | Subject Alternative Name | idp.hrblock.com                                 |  |  |  |
| Protocol version   TLSv1.2   Public key length   2048  | Validity period           | From Jul 19 2015 to Jul 19 2017 (24 months)   | Matches hostname         | Yes   |  |  |  |
| Certificate check   Signature algorithm   Sha256WithRSAEncryption  | Server                    | Apache  | Public key algorithm     | rsaEncryption                                   |  |  |  |
| Serial number         0x7e0d953e3344adc46645c384cf99c8a6         Cipher         AE5256-SHA           Version number         0x02         Perfect Forward Secrety         No         REC5746 renegotiation info, RFC4366 server na           Issuing organisation         Symantec Corporation         Issuer common name         Symantec Class 3 EV SSL CA - G3           Issuer ountry         US         Issuer country         Not Present           Sesuer country         US         Suser state         Not Present           Certificate Revocation<br>Lists         bttp://sr.symcb.com/sr.cri - 100% uptime in the past 24 hours Image 1 for the past 24 hours Image 2 for the past 2 for the past 2 for th  | Protocol version          | TLSv1.2   | Public key length        | 2048  |  |  |  |
| Version number         0x02         Perfect Forward Secrecy         No.           Next Protocol Negotiation         Acc Present         Supported TLS Extensions         RFC5746 renegotiation info, RFC4366 server natalysising organisms           Issuer unit         Symantec Trust Network         Issuer Joseph (and the present ocultion)         Arct Present           Issuer country         US         Issuer state         /icc Present           Certificate Revocation Lists         http://sr.symcb.com/sr.cri - 100% uptime in the past 24 hours Image (and the present ocultion)         Certificate Hash         sw/pT02F2CwQDGW13bTsI,th1IA           OCSP servers         http://sr.symcd.ccm - 100% uptime in the past 24 hours Image (and the past 24 hours Image)         Fervious Image (and the past 24 hours Image)  | Certificate check         | ok  | Signature algorithm      | sha256WithRSAEncryption                         |  |  |  |
| Next Protocol Negotiation         Act Present         Supported TLS Extensions         RFC5746 renegotiation info, RFC4366 server nat           Issuing organisation         Symantec Corporation         Issuer common name         Symantec Class 3 EV SSL CA - G3           Issuer country         US         Issuer country         Issuer state         Not Present           Certificate Revocation         http://sr.symcb.com/sr.cri - 100% uptime in the past 24 hours         Certificate Hash         sw/pFD2FZICWQDGW1/bTsLYh1IA           US         Public Key Hash         007437727494862870bf5c13a059be3eb11a6b511a5bff3419e7/897b4d960         Http://sr.symcd.com - 100% uptime in the past 24 hours  | Serial number             | 0x7e0d953e3344adc48645c384cf99c8a6  | Cipher                   | AES256-SHA                                      |  |  |  |
| Susuing organisation   Symantec Corporation   Susuer common name   Symantec Class 3 EV SSL CA - G3   | Version number            | 0x02  | Perfect Forward Secrecy  | No  |  |  |  |
| Suser unit   Symantec Trust Network  | Next Protocol Negotiation | Not Present   | Supported TLS Extensions | RFC5746 renegotiation info, RFC4366 server name |  |  |  |
| Issuer country   US   Issuer state   Not Present   | Issuing organisation      | Symantec Corporation  | Issuer common name       | Symantec Class 3 EV SSL CA - G3                 |  |  |  |
| Certificate Revocation         http://sr.symcb.com/sr.cri - 100% uptime in the past 24 hours   | Issuer unit               | Symantec Trust Network  | Issuer location          | Not Present                                     |  |  |  |
| Lists         Public Key Hash         007437f27f494b862870bf5c13a059ba3eb11a6b511a5bff3419e7f897b4d960           OCSP servers         http://sr.sym.cd.com - 100% uptime in the past 24 hours         List   | Issuer country            | US  | Issuer state             | Not Present                                     |  |  |  |
| OCSP servers http://sr.symcd.com - 100% uptime in the past 24 hours  |                           | http://sr.symcb.com/sr.crl - 100% uptime in the past 24 hours   | Certificate Hash         | sw/pFD2FZJCwQDGW1JbTsLYh1iA                     |  |  |  |
|  | Public Key Hash           | 007437f27f494b862870bf5c13a059be3eb11a6b511a5bff3419e7f897b4d960  |                          |   |  |  |  |
|  | OCSP servers              | http://sr.symcd.com - 100% uptime in the past 24 hours  |                          |   |  |  |  |
| OCSP stapling response No response received  | OCSP stapling response    | No response received  |                          |   |  |  |  |

## Accessing Our Services from Outside the United States

<u>Our services are hosted on servers located in the United States.</u> We also use service providers located in the United States to bring you our services. If you access our services from outside the United States, you consent to the transfer of your information, including your personal information, to the United States, a jurisdiction that may not provide the same level of protection to your personal information as your home country.

- 24. HRB infringed, and continues to infringe, at least claim 1 of the '293 Patent by making, using, importing, offering for sale, and/or selling the HRB platform, which software and associated architecture allow for providing an application program for distribution to a network server, specifying source and target directories for the program to be distributed, preparing a file packet associated with the program including a segment configured to initiate registration operations for the application program at a target on-demand server, and distributing the file packet to the target on-demand server to make the program available for use by a client user as described above.
- 25. HRB has been on notice of the '293 Patent since, at the latest, the service of the complaint upon it on June 8, 2017 in the previous action between Uniloc and HRB in the Eastern District of Texas. HRB knew and intended (since receiving that notice) that its continued actions infringed the '293 Patent.
- 26. HRB may have infringed the '293 Patent through other software and architecture utilizing the same or reasonably similar functionality, including other versions of the HRB platform.

| 1  | 27.  | 27. Uniloc has been damaged by HRB's infringement of the '293 Patent.              |   |  |  |
|----|--|--|---|--|--|
| 2  | PRAYER FOR RELIEF  |  |   |  |  |
| 3  | Unilo  | Uniloc requests that the Court enter judgment against HRB as follows:              |   |  |  |
| 4  | (A)  | finding that HRB has infringed the '578 Patent and the '293 Patent;                |   |  |  |
| 5  | (B)  | awarding Uniloc its damages suffered as a result of HRB's infringement of the '578 |   |  |  |
| 6  | Patent and the   | e '293 Patent;   |   |  |  |
| 7  | (C)  | awarding Uniloc its costs, attorneys' fees, expenses, and interest; and            |   |  |  |
| 8  | (D)  | granting Uniloc such further relief as the Court may deem proper.                  |   |  |  |
| 9  | DEMAND FOR JURY TRIAL  |  |   |  |  |
| 10 | Uniloc hereby demands trial by jury on all issues so triable pursuant to Fed. R. Civ. P. 38. |  |   |  |  |
| 11 |  |  |   |  |  |
| 12 | Dated: June 1  | 10, 2019 Res   | spectfully submitted,                                     |  |  |
| 13 |  |  | Aaron S. Jacobs<br>con S. Jacobs (Cal. Bar No. 214953)    |  |  |
| 14 |  | ajao   | cobs@princelobel.com nes J. Foster                        |  |  |
| 15 |  | jfos   | ster@princelobel.com<br>tthew Vella (Cal. Bar No. 314548) |  |  |
| 16 |  | mve  | ella@princelobel.com INCE LOBEL TYE LLP                   |  |  |
| 17 |  | One  | e International Place, Suite 3700<br>ston, MA 02110       |  |  |
| 18 |  |  | : (617) 456-8000  |  |  |
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